PATENT

Applicants: Michael J. Berardi, et al.

Docket No.:

60655.1400

Serial No.:

10/810,473

Filing Date:

August 30, 2004

Kamal, Shahid

Group Art Unit:

Confirmation

9805

3621

No.:

7005

TITLE:

SYSTEM AND METHOD FOR Examiner:

ENCODING INFORMATION IN
MAGNETIC STRIPE FORMAT

FOR USE IN RADIO

FREQUENCY IDENTIFICATION TRANSACTIONS

THIRD REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Commissioner:

Henry C. Yuen, SPE (571-272-4856), instructed us to file another Request for Corrected Filing Receipt and Request to Examiner to Acknowledge Proper Priority.

We received the Updated Filing Receipt dated September 13, 2004 with respect to the above-identified patent application. We noticed some errors on the Updated Filing Receipt. Two application serial numbers are missing from the section entitled "Domestic Priority data as claimed by applicant."

Applicants respectfully request that the following be added to the section entitled "Domestic Priority data as claimed by applicant":

This application is a CIP of U.S. Serial No. 10/340,352 filed on 1/10/03 which claims benefit of U.S. Serial No. 60/396,577 filed on 7/16/02

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Attached is a copy of the Declaration as filed.

Serial No. 10/810,473 Docket No. 60655,1400

A copy of the Filing Receipt for this application with the requested correction noted thereon is attached.

A brief history is below:

August 12, 2004 - 1st Request for Corrected Filing Receipt filed.

August 31, 2004 - Response to Request for Corrected Filing Receipt received (priority

paragraph missing indication of relationship to '352 application).

January 5, 2005 - Preliminary Amendment clarifying relationship to '352 application

filed.

January 5, 2005 - 2nd Request for Corrected Filing Receipt filed.

January 11, 2005 - Response to 2nd Request for Corrected Filing Receipt received which indicated that "A claim for priority cannot be made based on an application filed after the application making the claim". The reason "A claim for priority cannot be made based on an application filed after the application making the claim" is incorrect because the 10/340,352 application was filed on January 10, 2003, which is before the filing date of the current

application.

We look forward to receiving a corrected Filing Receipt for this application. If you should have any questions, please do not hesitate to contact the undersigned at the telephone

number listed below.

Respectfully submitted,

Howard I. Sobelman, Reg. No. 39,038

Dated:

August 31, 2010

SNELL & WILMER L.L.P.

One Arizona Center 400 E. Van Buren

Phoenix, Arizona 85004-2202 Phone: (602) 382-6228 Fax: (602) 382-6070

Email: hsobelman@swlaw.com

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Applicant(s): Michael J. Berardi, et al.

Serial No.: 10/810,473; Confirmation No.: 9805

Examiner TBA

Date Filed: March 26, 2004 Group Art Unit: 2635

Title: SYSTEM AND METHOD FOR ENCODING INFORMATION IN MAGNETIC STRIPE FORMAT FOR USE IN RADIO FREQUENCY

IDENTIFICATION TRANSACTIONS

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR ENCODING INFORMATION IN MAGNETIC STRIPE FORMAT FOR USE IN RADIO FREQUENCY IDENTIFICATION TRANSACTIONS

the	specification	of	which	is	attached	hereto	or	was	filed	on	March	26,	2004,	as
App	lication Serial	No	. 10/81	0,4	173, and w	as ame	nde	ed on				(if a	pplicab	le).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
	-0	FW Data	[]
Number	Country	Filing Date	

60/304,216	July 10, 2001		
Application Number	Filing Date		
60/396,577	July 16, 2002		
Application Number	Filing Date		

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

10/192,488 Application Number	July 9, 2002 Filing Date	Status (Patent, Pending, Abandoned)
10/340,352	January 10, 2003	-
Application Number	Filing Date	Status (Patent, Pending, Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first of	or joint inventor: Michael J. Berardi	
Inventor's signatur	e: Michael J. Seen D'	Date: <u>08/10/0</u>
	ss: 7770 NW 50th St., #306	
City/State:	Lauderhill, Florida	Zip Code:_33351
Citizenship:	United States of America	

joint inventor: <u>Michai Bilman</u>	
	Date:
4 Dogwood Circle	
Matawan, New Jersey	
United States of America	
nt inventor: <u>David S. Bonalle</u>	
	Date:
77 Rose Hill Avenue	
New Rochelle, New York	Zip Code:_10804
United States of America	
oint inventor: Peter D. Saunders	
2.44.	Date:
3710 East Palisade Drive	
Salt Lake City, Utah	Zip Code: 84109
United States of America	
	4 Dogwood Circle Matawan, New Jersey United States of America nt inventor: David S. Bonalle 77 Rose Hill Avenue New Rochelle, New York United States of America pint inventor: Peter D. Saunders 3710 East Palisade Drive Salt Lake City, Utah



			PATENT
Applicant(s):	Michael J. Berardi, et al.	Attorney Docket No.:	60655.1400
Serial No.:	10/810,473; Confirmation No.: 9805	Examiner	TBA
Date Filed:	March 26, 2004	Group Art Unit:	2635

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the specification of which is attached hereto or was filed on March 26, 2004, as Application Serial No. 10/810,473, and was amended on ______ (if applicable).

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Full name of first or j	oint inventor: Michael J. Berardi	
Inventor's signature:		Date:
Residence Address:	7770 NW 50th St., #306	
City/State:	Lauderhill, Florida	Zip Code: 33351
Citizenship:	United States of America	

Full name of second	joint inventor: <u>Michal Bliman</u>	
Inventor's signature:	Midw Blimer	Date: <u>8/Iq/o</u>
	4 Dogwood Circle	
City/State:	Matawan, New Jersey	Zip Code:_07747
Citizenship:	United States of America	
Full name of third join	nt inventor: <u>David S. Bonalle</u>	
Inventor's signature:		Date:
Residence Address:	77 Rose Hill Avenue	
City/State:	New Rochelle, New York	Zip Code:_10804
Citizenship:	United States of America	
Full name of fourth jo	oint inventor: Peter D. Saunders	
Inventor's signature:		Date:
Residence Address:	3710 East Palisade Drive	
City/State:	Salt Lake City, Utah	Zip Code: 84109
Citizenship:	United States of America	



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Applicant(s): Michael J. Berardi, et al. Attorney Docket No.: 60655.1400 Examiner Serial No.: 10/810,473; Confirmation No.: 9805 TBA Date Filed: March 26, 2004 Group Art Unit: 2635 Title: SYSTEM AND METHOD FOR ENCODING INFORMATION IN

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Full name of first or j	oint inventor: Michael J. Berardi	
Inventor's signature:		Date:
Residence Address:	7770 NW 50th St., #306	
City/State:	Lauderhill, Florida	Zip Code: 33351
Citizenshin:	United States of America	

ruii name oi second	John inventor. Michai bilinali	
		Date:
	4 Dogwood Circle	
	Matawan, New Jersey	
Citizenship:	United States of America	
Full name of third joi	nt inventor: David S. Bonalle	
Inventor's signature:	nt inventor: Bavid S. Bonalle	Date:
	77 Rose Hill Avenue	
City/State:	New Rochelle, New York	Zip Code:_10804
Citizenship:	United States of America	
Full name of fourth j	oint inventor: Peter D. Saunders	
Inventor's signature:		Date:
Residence Address:	3710 East Palisade Drive	
City/State:	Salt Lake City, Utah	Zip Code: <u>84109</u>
Citizenship:	United States of America	



PATENT -	
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 Applicant(s):
 Michael J. Berardi, et al.
 Attorney Docket No.:
 60655.1400

 Serial No.:
 10/810,473; Confirmation No.:
 9805
 Examiner
 TBA

 Date Filed:
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Inventor's signati	ure:	Date:
Residence Addre	ess: 7770 NW 50th St., #306	
City/State:	Lauderhill, Florida	Zip Code: 33351
Citizenshin:	United States of America	

Full name of second	joint inventor. Witchar Dinnan	
Inventor's signature:_		Date:
Residence Address:	4 Dogwood Circle	
City/State:	Matawan, New Jersey	Zip Code:_07747
Citizenship:	United States of America	
Full name of third joir	nt inventor: David S. Bonalle	
Inventor's signature:		Date:
Residence Address:	77 Rose Hill Avenue	
City/State:	New Rochelle, New York	Zip Code: 10804
Citizenship:	United States of America	
Full name of fourth in	oipt nwentor: Peter D. Saunders	
Inventor's signature:	(Jun Jun)	Date: 8/16/04
		' '
	3710 East Palisade Drive	
City/State:	Salt Lake City, Utah	Zip Code:_84109
Citizenship:	United States of America	

Page 1 of 2

EP 1 7 2004



Patrick I Mixon

Snell & Wilmer L.L.P. One Anzona Center

400 East Van Buren Phoenix, AZ 85004-2202

United States Patent and Trademark Office

SNELL & WILMER

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexabits, Vagais 22313-1450

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	APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY, DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
	10/810,473	08/30/2004	2635,	900	60655.1400	10	15	3	

CONFIRMATION NO. 9805

UPDATED FILING RECEIPT

Date Mailed: 09/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael J. Berardi, Lauderhill, FL; Michal Bliman, Matawan, NJ; David S. Bonalle, New Rochelle, NY; Peter D. Saunders, Salt Lake City, UT;

Assignment For Published Patent Application

American Express Travel Related Systems Company, Inc.

Power of Attorney: The patent practitioners associated with Customer Number 20322.

Domestic Priority data as claimed by applicant

This application is a CIP of 10/192,488 07/09/2002 ✓ which claims benefit of 60/304,216 07/10/2001 ✓ THIS APPLICATION! 3 KCIF of p. 14/94/0, 352. 1/10/192 Foreign Applications 6,000 (FT of 60/396, 577 71/10/102

If Required, Foreign Filing License Granted: 07/27/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/810,473

Projected Publication Date: 12/23/2004

Non-Publication Request: No

Early Publication Request: No .

Title

System and method for encoding information in magnetic stripe format for use in radio frequency identification transactions 🗸

Preliminary Class

340

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15. (The scope and limitations of this license are set forth in 37 CFR 5.15(a), unless an earlier license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing leave relating to explonage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CPR 121-128); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (iii); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 1811, the licensee may foreign file the application pursuant to 37 CFR 5.16sc).